

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page 1 of 9
For use by Members, officers, and employees

Henry A. Waxman
(Full Name)

202-225-3976
(Daytime Telephone)

Filer Status ☒ Member of the U.S. House of Representatives

State: CA
District: 30

☐ Officer Or Employee
Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$305 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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LEGISLATIVE RESOURCE CENTER

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(Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Henry A. Waxman

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Kelly Drye Collier Shannon	speech	Jan 10, 2007	\$2,000
Prudential Equity Group	speech	Feb 21, 2007	\$2,000
Health Network Communications, Ltd	speech	Feb 27, 2007	\$2,000
Generic Pharmaceutical Association	speech	March 2, 2007	\$2,000
DTC Perspectives Association	speech	April 10, 2007	\$2,000
The Healthcare Network	speech	April 10, 2007	\$2,000
Digital Dialogue Forum	speech	April 23, 2007	\$2,000
Capitol Research Management Group	speech	June 5, 2007	\$2,000
Security Industry Association	speech	June 12, 2007	\$2,000
Avalere Health	speech	June 14, 2007	\$2,000
Bank of America	speech	July 11, 2007	\$2,000
Center for Business Intelligence	speech	July 30, 2007	\$2,000

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Source	Activity	Date	Amount
Generic Pharmaceutical Association	speech	Sept 6, 2007	\$2,000
Professional Services Council	speech	Sept 10, 2007	\$2,000
Institute for International Research	speech	Sept 18, 2007	\$2,000
Scherago International	speech	Sept 24, 2007	\$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Henry Waxman Traditional IRA				
Vanguard Short Term Investment-Grade Fund Investor Shares	\$15,000-\$50,000	dividend	\$201 - \$1,000	S(partial)
Vanguard Pacific Stock Index Fund Investor Share	\$15,001 - \$50,000	dividend	\$201-\$2000	
Vanguard European Stock Fund Investor Shares	\$1,001-\$15,000	dividend	\$201 - \$1,000	
Vanguard Total Bond Market Fund Investor Stock	\$15,001 - \$50,000	dividend	\$201 - \$1,000	P/S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Vanguard Inflation-protected Securities Fund Investor Shares	\$15,001 - \$50,000	dividend	\$1001-\$2,500	
	Vanguard Mid-Cap Index Fund Investor Shares	\$50,001 - \$100,000		\$201 - \$1,000	
	Vanguard REIT Index Fund Admiral Shares	\$100,001 - \$250,000	dividend	\$1001-\$2,500	P/S
	Fidelity Low Price Stock FLPSX	\$50,001 - \$100,000	dividend	\$1,001 - \$2,500	s(partial)
	Waxman ROTH IRA				
	Vanguard Total Bond Market Total Index Fund Investor Shares	\$1,001 - \$15,000	dividend	\$1001-\$2,500	
	Henry Waxman & Janet Waxman Trusts				
JT	Vanguard Primary Money Market Fund	\$1,001 - \$15,000	interest	\$1 - \$200	
JT	Vanguard Pacific Stock Index Fund Investor	\$15,001 - \$50,000	dividend	\$201 - \$1,000	
JT	Vanguard European Stock Index Fund Investor Shares	\$50,001 - \$100,000	dividend-	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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JT	Vanguard Extended Market Index Fund Investor Shares	\$50,001 - \$100,000	dividend	\$201 - \$1,000	
JT	Vanguard Tax Managed International Fund	\$15,001 - \$50,000	dividend	\$1 - \$200	
JT	Vanguard 500 Index Fund Admiral Shares	\$100,001 - \$250,000	dividend	\$2501-\$5000	
JT	Vanguard Emerging Markets Stock Index Fund Admiral Shares	\$100,001 - \$250,000	dividend	\$2501-\$5000	
	Vanguard Total Stock Market Index	None	N/A	NONE	S
	Waxman Fidelity Investments-IRAs				
JT	Fidelity Contrafund	\$15,001 - \$50,000	dividend	NONE	
JT	Fidelity Fund	\$15,001 - \$50,000	dividend	\$15,001 - \$50,000	
JT	Fidelity Magellan	\$15,001 - \$50,000	N/A	NONE	
	Janet Waxman Separate Investments				
SP	CA Health FFA 94A CATHMBIA B/E96	\$1 - \$1,000	interest	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	CA State INSD BD CTF MBIA B/E/R	\$1001-\$15,000	interest	\$201 - \$1,000	
	Waxman Traditional IRA				
SP	Vanguard Inflation Protected Securities	\$15,001 - \$50,000	dividend	\$201 - \$1,000	P
SP	Vanguard Small Cap Index Fund	\$15,001 - \$50,000	dividend	\$201 - \$1,000	
	ROTH-IRA				
SP	Vanguard Inflation Protected Securities	\$1,001 - \$15,000	dividend	\$201 - \$1,000	
	CALPERS(CA State Retirement Fund)	\$50,001 - \$100,000	N/A	NONE	P
	Credit Union CD	\$1,001 - \$15,000	N/A	NONE	
	State of Israel Bond	\$1,001 - \$15,000	interest	\$1,001 - \$2,500	
	I Bond	None	N/A	NONE	S

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Total Bond Market Fund Investor Stock	P	2/20/07	\$15,001 - \$50,000
	Vanguard REIT Index Fund Admiral Shares Vanguard REIT Index Fund Admiral Shares	S/P 12/05/07	2/20/07 12/05/07	\$1,001 - \$15,000
JT	Vanguard Total Stock Market Index Shares	S	9/28/07	\$1,001 - \$15,000
	Vanguard Total Bond Market Fund Investor	P/S	2/20/07 12/04/07	\$1,001 - \$15,000
	Vanguard 500 Index Fund-Admiral Shares Vanguard 500 Index Fund-Admiral Shares	P/P	2/05/07	\$1,001 - \$15,000
	I Bond	S	12/08/07	\$1,001 - \$15,000
	Fidelity Magellan	S	1/17/07	\$15,001 - \$50,000
	Fidelity Low Priced Stock FLP SX	S(part)	5/12/07	\$15,001 - \$50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program	May 5 - June 3, 2007	DC-Ljubljana (Slovenia)-DC	Y	Y	Y	3 days
Aspen Institute Congressional Program	Nov 27- Dec 2, 2007	DC-Liberia, Costa Rica-DC	Y	Y	Y	none